

## Original Article

# Factors Predicting Peristaltic Abnormalities in Gastroesophageal Reflux

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### ABSTRACT

**Background:** Data regarding the type of breaks and its impact on peristalsis in gastro esophageal reflux disease(GERD) is scanty.

**Aim:** To study the prevalence and significance of segmental breaks in symptomatic GERD cases. To identify the endoscopy grading and dietary factors that are likely to affect esophageal motility.

**Materials and Methods:** 106 patients with GERD were included. Baseline patient information included age, gender, BMI, diet recall and upper endoscopy report. Normal (Group I) and ineffective swallows (Group II, minor peristaltic defects) were compared for proximal and distal segment breaks. Impact of multiple rapid swallows was assessed in a subset of cases. Appropriate statistical tests were used. p value <0.05 was considered as statistically significant.

**Results:** 72 patients had normal esophageal motility (Group I) and 30 had minor peristaltic abnormality (Group II). BMI was significantly higher in Group II. Mean basal LES pressure, IRP and DCI in Group II was significantly low and a significant proportion of swallows in patients in Group II had breaks greater than 5 cm in S1 and more than 2 cm in S2 and S3. The basal LES pressure and BMI cut off was 12.1 mm Hg and 26.1 kg per m<sup>2</sup> respectively. The odds ratio of having a minor peristaltic disorder was 3.2 times(1.4-4.1, p 0.001) with the combination of these two factors.

**Conclusion:** Majority of GERD patients had normal motility. Even in those with minor peristaltic abnormality, the peristaltic reserve was good. Patients in group II had significantly lower basal LES pressures and higher BMI.

**KEYWORDS:** Esophagus, Reflux, Acid.

### Introduction

High-resolution esophageal manometry (HREM) is currently an essential investigative tool for evaluating esophageal symptoms such as dysphagia, gastroesophageal reflux disease (GERD), and non-cardiac chest pain. Chicago classification

v3.0 is utilized to report HREM. It incorporates multiple objective parameters like distal latency (DL), contractile front velocity (CFV), integrated relaxation pressure (IRP) and distal contractile integral (DCI).<sup>1</sup>

For the ease of interpretation of HREM, the esophagus is divided into three segments. S1 segment or the Transition zone (TZ) is a low-pressure zone straddled between the striated and the smooth muscle of the esophagus. S2 and S3 segments lie in the body of the esophagus, the latter merging with the lower esophageal sphincter (LES). Breaks up to 2 cm in both these segments are considered normal. For effective uninterrupted bolus transport across S1 through to S2 and S3, the contractile waves in striated and smooth muscle exhibit a smooth spatiotemporal coordination.<sup>2,3</sup>

In India, most centers use water perfused HREM. A large subset of patients are referred to these centers for evaluation of GERD and peristaltic abnormality before anti-reflux surgery. The presence of large peristaltic breaks in these patients often poses a clinical dilemma regarding further management. Although the present Chicago classification does not discuss breaks, we believe that these are relevant to our clinical practice and are likely to impact decision making. To address some of these issues, we prospectively assessed the HREM findings in symptomatic GERD patients to study the prevalence and significance of large segmental breaks.

## Materials and Methods

The study was conducted at the GI Motility Unit at Gleneagles Global Health City, Chennai, between June 2012 and May 2017. Cases were enrolled using non-probability convenience sampling. Patients with symptoms of GERD referred for manometry or 24 hour pH testing were included for the study. Baseline patient information included age, gender, BMI, diet recall, and upper endoscopy report.

**Exclusion criteria:** Post fundoplication, scleroderma

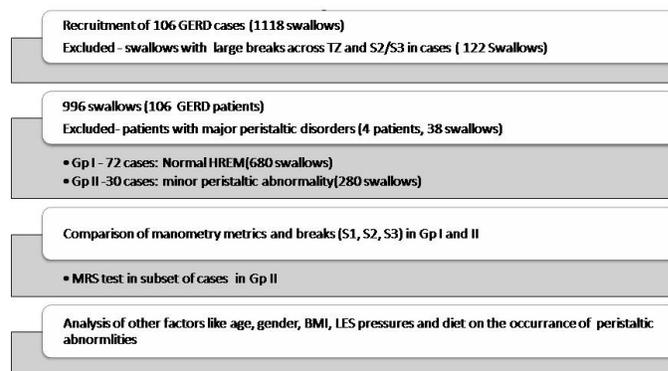
The details of study recruitment and analysis are shown in **Figure 1**.

**Manometry protocol:** Medications likely to affect the smooth muscle contraction or LES relaxation such as prokinetics and anticholinergics were discontinued 14 days prior to the recording. Informed consent was obtained. HREM was recorded using 5 mL water for 10 swallows in the supine posture and a 16 channel water

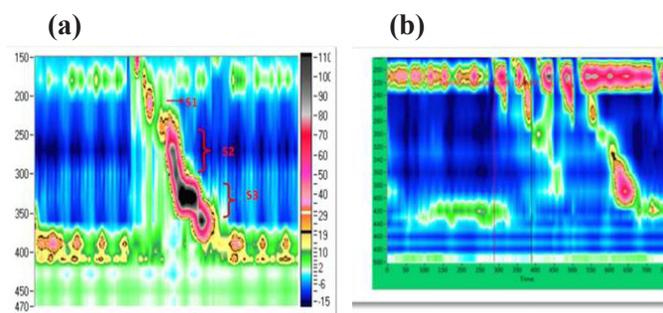
perfusion system (Ready Stock, Australia), and reported according to Chicago Classification v 3.04 using Trace 1.3.3 software (Hebbard, Australia). The median DL, DCI, and IRP were estimated. Breaks in S1, S2, and S3 segments were categorized as less than 2 cm (considered normal), 2 to 5 cm, and more than 5 cm.

Breaks in proximal and distal segments (**Figure 2a**) were compared for all swallows in patients with normal motility (Group I) and minor peristaltic abnormality (Group II).

Multiple rapid swallows (MRS) (**Figure 2b**) were performed to assess the esophageal peristaltic reserve. The process involved a series of single 5 swallows with 2mL water given at 10-second intervals. Abnormal MRS was defined when the post-MRS contraction was weak and was classified as Type IEM-A when DCI ratio between average 10 wet swallows and post-MRS contraction was <1 and type IEM-B when post-MRS contraction DCI was <450mm Hg-s-cm.<sup>5</sup>



**Figure 1: Selection of cases and workflow.**



**Figure 2: (a) S1, S2, S3 segments in normal manometry (b) MRS testing for augmented response.**

Patients in group I and II were compared for demography, dietary factors, and endoscopic grading -Los Angeles (LA) grades of esophagitis- to study the determinants of abnormal peristalsis.

### Statistical analysis

For descriptive analysis of quantitative variables, mean and standard deviation, and for categorical variables, frequency and proportion were applied. Independent student's t-test and Chi-square test were used. Logistic regression was performed to determine cut off values for the significant factors, and sensitivity, specificity, relative risk, positive and negative predictive values were calculated. A p-value <0.05 was considered as statistically significant.

### Results

One hundred and six patients were referred for HREM. The mean age was 42.6±15.4 years, and BMI was 25.93 kg per m<sup>2</sup>. Seventy two (72) patients had normal esophageal motility (Group I), and 30 had minor peristaltic abnormality (Group II). Twenty one (70%) and 9 (30%) patients in the latter group had ineffective esophageal motility and fragmented peristalsis, respectively.

Age, gender distribution, and endoscopic parameters were comparable in the two groups. BMI was higher in Group II, and in both groups, the median BMI

was > 25 kg per sq.m. Dietary factors like fried and spicy food were more frequent in group I (Table 1).

On analyzing the HREM, the mean basal LES pressure, IRP and DCI were lower in Group II. Also, a significant proportion of swallows in patients in Group II had breaks greater than 5 cm in S1 and more than 2 cm in S2 and S3. Seventeen of the 21 patients with ineffective esophageal motility in group II had MRS, 7 of whom had a poor peristaltic reserve (41.2%); 3 had Type IEM-A, and 4 had Type IEM-B. (Table 2)

To further study the combined effect of the low LES pressure and high BMI, on ineffective motility, we obtained a cut off value for both these parameters using linear regression. The basal LES pressure and BMI cut off were 12.1 mm Hg and 26.1 kg per m<sup>2</sup>, respectively. The odds ratio of having a minor peristaltic disorder was 3.2 times (95% CI 1.4-4.1, p= 0.001) with the combination of these two factors. Also the sensitivity, specificity, positive predictive value and negative predictive value for the 2 combined determinants were 60% (CI 40.6-77.4%), 77.8% (CI 66.4-86.7%), 52.3% (CI 40-65.4%) and 82.35% (74.7-88.4%) respectively.

### Discussion

Majority of GERD patients from southern India had normal esophageal motility. Even in those with a minor peristaltic abnormality, the peristaltic reserve was good.

**Table 1: Impact of clinical, dietary and endoscopic parameters on esophageal peristaltic abnormality in GERD cases.**

Parameters assessed	Group I (n=72)	Group II (n=30)	P value
Demographic parameters			
Age in years (median, range)	42.05 (18-77)	43.52 (21-81)	0.30
Sex ( male: female)	45:27	22:8	0.29
BMI in kg per sq.m (median , range)	25.5 (18.3-32.5)	27.3 (18.6-32.5)	0.02
Dietary parameters			
Vegetarian	25 (34.7%)	11 (37%)	0.82
Fried food intake	59 (82%)	17 (56.7%)	0.007
Spicy food ( medium/ high)	59 (82%)	17 (56.7%)	0.007
Tea/ coffee (> 2 cups/ day)	51 (70.8%)	18 (60%)	0.28
Upper gastrointestinal endoscopy			
Normal	49 (68%)	21 (70%)	0.84
Esophagitis LA A/B	23 (32%)	9 (30%)	

**Table 2: Comparison of manometric parameters and peristaltic breaks in Group I and II.**

Parameters assessed	Group I (n=72)	Group II (n=30)	P value
HREM metrics (mean, SD, 95% CI)			
Basal LES pressures ( mm Hg)	16.74 (12.27)(14.05-19.43)	12.31 (8.95)(9.7-14.95)	0.02
IRP ( mm Hg)	7.45 (3.70)(6.65-8.24)	6.07 (3.21)(5.16-7.02)	0.02
DL (sec)	6.12 (5.6)(4.94-7.35)	5.93 (1.14)(5.57-6.28)	0.40
DCI (mm Hg s cm)	1345.6 (667.3)(1202-1488.3)	530.7 (422.2)(408.7-652.7)	<0.0001
Peristaltic breaks (For individual swallows)			
Length (in cm)	Gp I (n=678)	Gp II (n=280)	P value
No. of swallows (%)			
0 to <2	400 (59.0)	162 (57.9)	0.60
2 to <5	255 (37.6)	79 (28.2)	0.005
>5	23 (3.4)	39 (13.9)	<0.0001
No. of swallows (%)			
0 to <2	564 (83.2)	164 (58.6)	<0.0001
2 to <5	86 (12.7)	56 (20)	0.004
>5	28 (4.1)	60 (21.4)	<0.0001

This observation is similar to previous studies from India and abroad.<sup>6,7</sup>

Esophageal peristaltic breaks of > 5 cms size were frequent in group II in both the proximal (S1) and distal segments S2 and S3, which correlated well with lower mean DCI in these patients. Peristaltic breaks are known to impact esophageal clearance significantly. Ghosh et al<sup>8</sup> noted that bolus retention in the TZ, i.e., S1, results in lower muscle squeeze in this segment. Consequently, there is a wide spatial separation between the upper and lower contraction waves, resulting in ineffective clearance. Pohl et al<sup>9</sup> conceptualized that a time delay between the proximal and distal esophageal contraction waves may be significant in GERD symptoms such as dysphagia. In our study, we observed that larger distal defects, rather than proximal breaks, had a more noticeable impact on the occurrence of minor peristaltic abnormalities. Roman et al., reported that correlation of incomplete bolus transit with large breaks (>5 cm) was 100% and 16% with small breaks (2 to 5 cm).<sup>10</sup> Recent studies have shown that proximal breaks too correlate with ineffective bolus transit.<sup>11</sup>

Apart from classifying various esophageal motor abnormalities, there has recently been a keen interest in stratifying patients with ineffective esophageal motility

(IEM) with provocative tests like multiple rapid swallows (MRS). Repetitive and rapid swallows result in inhibition of the progression of peristalsis by a subsequent swallow, after which a high-amplitude peristaltic wave propagates along the esophagus.<sup>12,13</sup> MRS testing may have implications while planning anti-reflux surgery for GERD.<sup>14</sup>

For the secondary endpoint, we looked into other factors that were responsible for the abnormal HREM findings. These patients had significantly lower basal LES pressure and higher BMI compared to those with normal HREM. A combination of these factors (basal LES pressure <12.1mm Hg and BMI >26.1kg per m<sup>2</sup>) had good sensitivity, specificity, and negative predictive value in the occurrence of peristaltic abnormalities. Dietary factors like fried food and spicy food were significantly higher in Group I than group II, but these did not affect the esophageal motility. These patients are likely to non-erosive reflux disease or hypersensitive esophagus. The majority of the symptomatic GERD cases had normal endoscopy (70, 68.6%), while the remaining had low-grade esophagitis ( LA A and B). These observations concur with reports from India which show that majority of Indian patients with GERD have low grade esophagitis.<sup>15</sup>

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The present study has a few limitations, including that the diagnosis of GERD was not confirmed with 24 hr pH monitoring or impedance testing. Whether the abnormal distal contractile element is the primary defect in GERD or a result of long-standing reflux is debatable and needs to be explored.

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